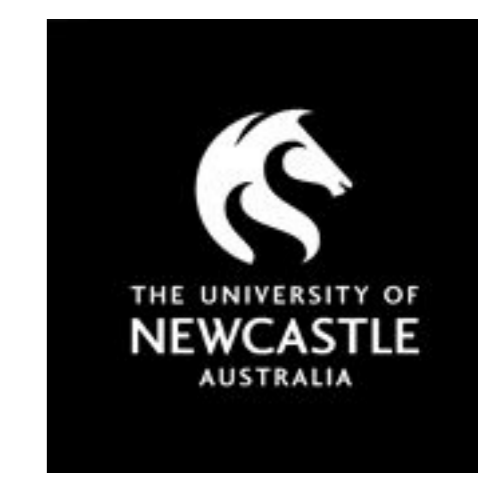
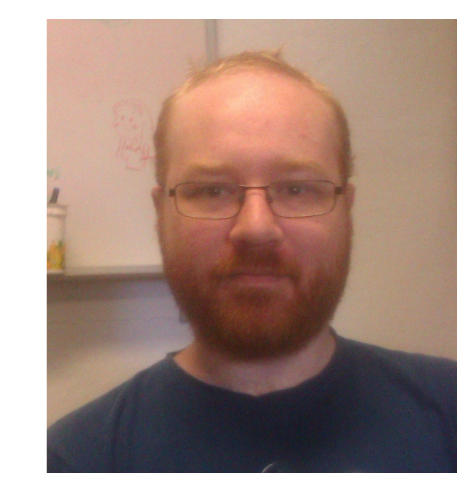


# Simple Groups of Automorphisms of Trees

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## Abstract

Groups of automorphisms of trees  $G < \text{Aut}(\mathcal{T})$  are investigated and results proved about their simplicity by Jacques Tits [5]; these results used an Independence Property (P). We describe methods of constructing groups acting on trees with slightly weaker properties, and use this framework to prove similar simplicity results.

## The $k$ -closure of $G$

Let  $\mathcal{T}$  be a tree and  $G$  a group acting on  $\mathcal{T}$ . Let  $B(v, k)$  denote the closed ball (with respect to the standard metric on  $\mathcal{T}$ ) of radius  $k$  centred at the vertex  $v \in V(\mathcal{T})$ . Then for each  $k \in \mathbb{N}$ , define the  $k$ -closure of  $G$  to be

$$G^{(k)} = \{ \alpha \in \text{Aut}(\mathcal{T}) \mid \forall v \in V(\mathcal{T}), \exists g \in G \text{ such that } g|_{B(v,k)} = \alpha|_{B(v,k)} \}.$$

## Properties

- $G^{(k+1)} \leq G^{(k)} \leq \text{Aut}(\mathcal{T})$  for all  $k$ .
- $G^{(k)}$  is closed and  $\bigcap G^{(k)} = \overline{G}$ , the closure of  $G$ .
- The orbits  $G^{(k)}.v = G.v$  are equal for all vertices  $v$ .
- Two subgroups  $G, H$  satisfy  $G^{(k)} = H^{(k)}$  if for all  $v \in V(\mathcal{T})$  we have  $\text{Stab}_G v|_{B(v,k)} = \text{Stab}_H v|_{B(v,k)}$  and  $G.v = H.v = (G \cap H).v$ .

## Theorem

Let  $G \leq \text{Aut}(\mathcal{T})$ , fix  $k \in \mathbb{N}$  and suppose that  $G$  does not leave invariant any proper subtree of  $\mathcal{T}$ . Then  $G^{(k)}$  is non-discrete if and only if there is  $(v, w) \in E(\mathcal{T})$  and  $g \in G$  such that

$$g|_{B(v,k) \cap B(w,k)} = 1 \text{ and } g|_{B(w,k)} \neq 1.$$

## Examples

- Each  $G^{(1)}$  contains any group acting with the same orbits and the same local actions as  $G$ . If  $G$  is vertex-transitive and locally transitive (in which case  $\mathcal{T}$  is regular), the *universal group* construction [2] fully describes the structure of  $G^{(1)}$ .
- All 7 vertex-transitive locally transitive discrete groups acting on  $\mathcal{T}_3$  [3] satisfy  $G^{(3)} = G$ , and in 3 of these cases  $G^{(2)} \neq G$ .
- When all  $k$ -closures of  $G$  are distinct, as is the case for  $G = \text{PSL}(2, \mathbb{Q}_p)$  acting on  $\mathcal{T}_{p+1}$ , they form an infinite descending series of subgroups whose intersection is  $G$ .

## Example: Automorphism groups of graphs

Let  $\Gamma$  be any graph with universal covering tree  $\mathcal{T}$ . Then  $\pi_1(\Gamma)$  acts naturally on  $\mathcal{T}$  and there exists  $G < \text{Aut}(\mathcal{T})$  forming the exact sequence

$$\pi_1(\Gamma) \hookrightarrow G \twoheadrightarrow \text{Aut}(\Gamma).$$

For  $\Gamma$  a finite graph,  $G$  is a discrete subgroup of  $G^{(k)}$ , and  $G^{(k)} = G$  for all  $k \geq \text{diam}(\Gamma)$ .

The graph  $C(p, r, 1)$  [4] on the right, has vertex set  $\{(i, k) : i \in \mathbb{Z}_r, 1 \leq k \leq p\}$  and adjacency  $(i, k) (j, l)$  iff  $j = i \pm 1$ . Here the  $k$ -closure of  $G_{p,r}$  is non-discrete for all  $k < \frac{r}{2}$ . For distinct  $r, s$  the  $k$ -closures of  $G_{p,r}$  and  $G_{p,s}$  are the same for all  $k < \frac{r}{2}, k < \frac{s}{2}$ .

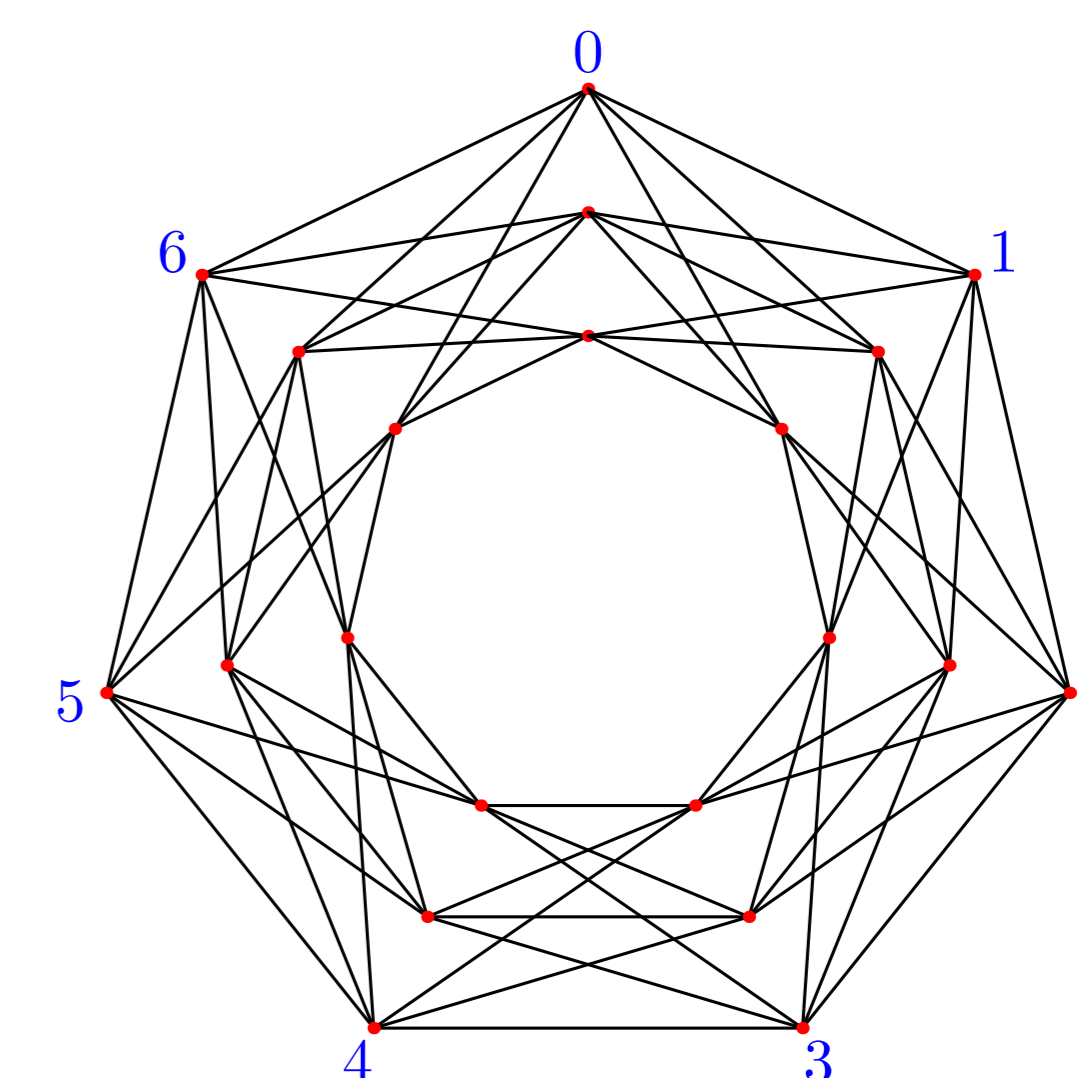


Figure: The graph  $C(3, 7, 1)$

## The Independence Properties: generalising the work of Tits

### Definition (Property $(P^k)$ )

Suppose  $G < \text{Aut}(\mathcal{T})$  and fix  $k \in \mathbb{N}$ . For any edge  $\{v, w\}$ , let  $\mathcal{T}_{(v,w)}$  denote the semitree of  $\mathcal{T}$  containing  $w$  but not  $\{v, w\}$ , and  $\mathcal{B}_k$  be the vertex set  $B(v, k) \cap B(w, k)$ . Let  $F := \text{Fix}_G(\mathcal{B}_k)$  be the subgroup of  $G$  fixing  $\mathcal{B}_k$ . Then  $G$  satisfies *Property  $(P^k)$*  if  $F \cong \text{Fix}_F(\mathcal{T}_{(v,w)}) \text{Fix}_F(\mathcal{T}_{(w,v)})$  for all edges.

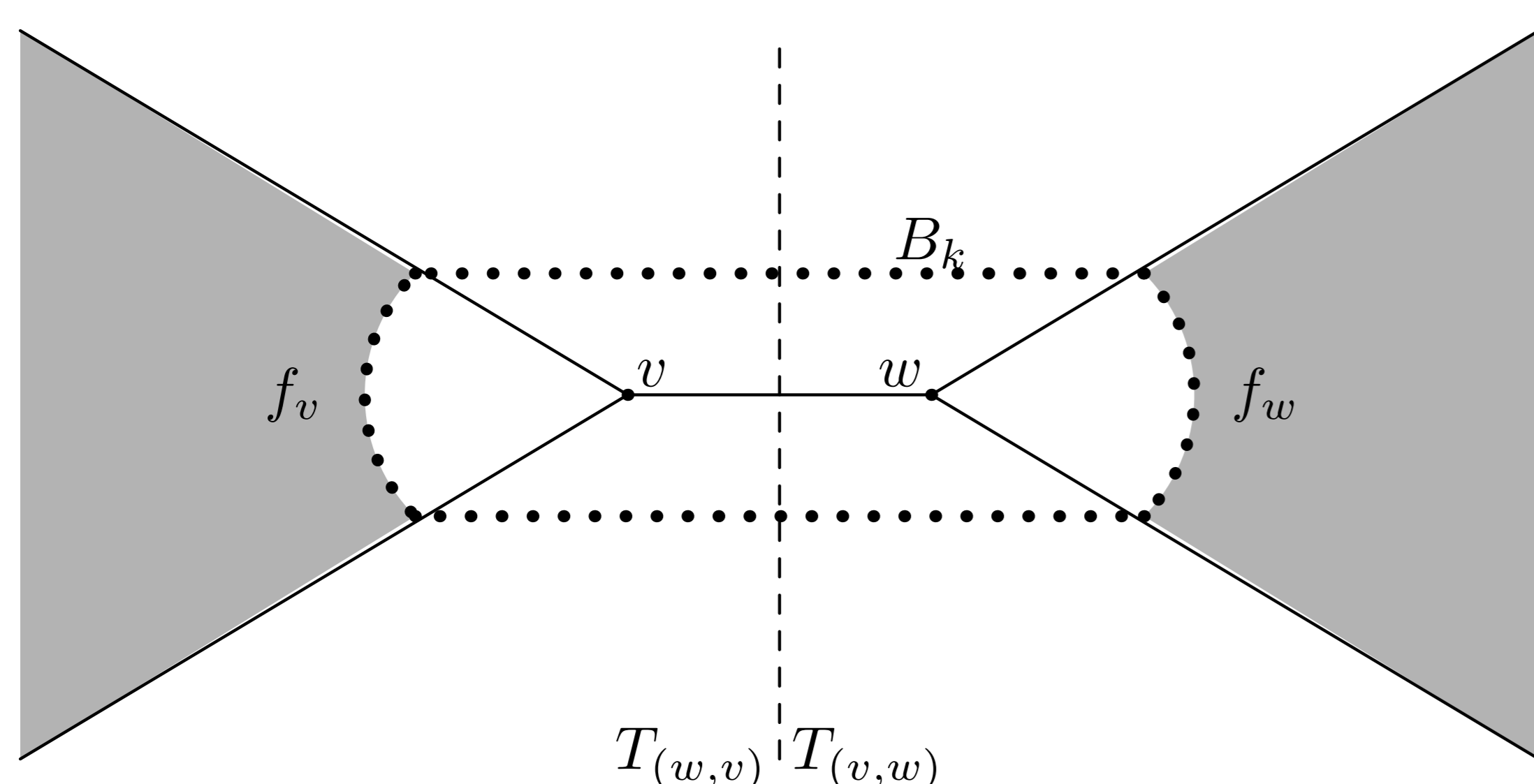


Figure: Property  $(P^k)$ ;  $f_v$  fixes  $\mathcal{T}_{(v,w)}$  and  $f_w$  fixes  $\mathcal{T}_{(w,v)}$ . Both act independently of each other, and any  $f \in \text{Fix}_G(\mathcal{B}_k)$  splits into some product  $f_v f_w$ .

### Properties of Properties $(P^k)$

- $(P^1)$  is equivalent to Independence Property (P) [5].
- Property  $(P^k)$  implies Property  $(P^j)$  for all  $j > k$ .
- $G^{(k)}$  has Property  $(P^k)$ , and  $H^{(k)} = \overline{H}$  iff  $H$  has Property  $(P^k)$ .

### Simplicity Theorem

Let  $G < \text{Aut}(\mathcal{T})$  be closed, fix  $k \in \mathbb{N}$  and let  $G^{+k}$  denote the group generated by automorphisms in  $\text{Fix}_G(\mathcal{B}_k)$  for any edge of  $\mathcal{T}$ . Suppose that  $G$  satisfies Property  $(P^k)$  and does not stabilise a proper non-empty subtree or an end of  $\mathcal{T}$ . Then every nontrivial subgroup of  $G$  normalised by  $G^{+k}$  contains  $G^{+k}$ ; in particular  $G^{+k}$  is simple or trivial.

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