

Suzuki-Ree groups as algebraic groups over $\mathbb{F}_{\sqrt{p}}$

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Overview

- 1 Finite simple groups
- 2 Groups of Lie type
- 3 Suzuki-Ree groups
- 4 More disturbances
- 5 The dark side of the moon
- 6 Twisting a category
- 7 Theorems

1 — Finite simple groups

Theorem

Every finite simple group belongs to at least one of these classes:

- *Cyclic groups* \leftarrow *abelian*
- *Sporadic groups* \leftarrow *finite in number*
- *Alternating groups* \leftarrow *well understood*
- *Groups of Lie type* \leftarrow *many subclasses*

Proof.

Omitted.

Finite simple groups

The Periodic Table Of Finite Simple Groups

θ, C_n, Z_n

1
1

Dynkin Diagrams of Simple Lie Algebras

$A_1(4), A_1(5)$	$A_1(7)$					${}^2A_3(4)$	$C_3(3)$	$D_4(2)$	${}^2D_4(2^2)$	$G_2(2)'$
A_5	368					$B_2(3)$	4 983 331 680	174 182 400	197 406 720	6 048
60	368					25 920	0 000 000 000	4 952 179 814 400	10 511 968 419 520	62 400
$A_1(9), B_2(2)'$	${}^2G_2(3)'$					$B_2(4)$	$C_3(5)$	$D_4(3)$	${}^2D_4(3^2)$	${}^2A_2(16)$
A_6	$A_1(8)$					979 200	126 501	4 952 179 814 400	10 511 968 419 520	62 400
360	504					0 000 000 000	0 000 000 000	4 952 179 814 400	10 511 968 419 520	62 400

A_7	$A_1(11)$	$E_6(2)$	$E_7(2)$	$E_8(2)$	$F_4(2)$	$G_2(3)$	${}^3D_4(2^3)$	${}^2E_6(2^2)$	${}^2B_2(2^3)$	Title*	${}^2F_4(2)'$	${}^2G_2(3^3)$	$B_3(2)$	$C_4(3)$	$D_5(2)$	${}^2D_5(2^2)$	${}^2A_2(25)$	C_2	2	
2 520	660	214441 375 522	475 762 000	475 762 000	3 313 126	4 245 696	231 341 312	76 532 479 680	774 653 939 200	29 120	10 073 444 472	17 971 200	1 451 520	46 794 756	654 408 608	33 499 262 968 800	33 011 376 326 400	126 000	C_3	3
$A_8(2)$	$A_1(13)$	$E_6(3)$	$E_7(3)$	$E_8(3)$	$F_4(3)$	$G_2(4)$	${}^3D_4(3^3)$	${}^2E_6(3^2)$	${}^2B_2(2^5)$	${}^2F_4(2^3)$	${}^2G_2(3^5)$	$B_2(5)$	$C_3(7)$	$D_4(5)$	${}^2D_5(4^2)$	${}^2A_3(9)$	C_5	C_5	5	
20 160	1 092	1 200 000 000	1 200 000 000	1 200 000 000	5734 430 792 834	251 596 800	20 160 831 364 912	74 840 000 000	32 537 600	264 905 352 400	439 340 552	4 680 000	468 903 680	800 000 000	1 051 968 419 520	395 448 000	3 265 920	C_{11}	11	
A_9	$A_1(17)$	$E_6(4)$	$E_7(4)$	$E_8(4)$	$F_4(4)$	$G_2(5)$	${}^3D_4(4^3)$	${}^2E_6(4^2)$	${}^2B_2(2^7)$	${}^2F_4(2^5)$	${}^2G_2(3^7)$	$B_2(7)$	$C_3(11)$	$D_5(3)$	${}^2D_6(5^2)$	${}^2A_2(64)$	C_{13}	C_{13}	13	
181 440	2 448	1 200 000 000	1 200 000 000	1 200 000 000	47 802 350	1 559 800 000	44 720 400	34 063 383 680	34 063 383 680	239 189 930 264	332 349 332 632	138 297 600	54 025 713 482	899 394 608	1 209 912 799	941 365 139 200	800 000 000	5 515 776	C_p	p
A_n	$A_n(q)$	$E_6(q)$	$E_7(q)$	$E_8(q)$	$F_4(q)$	$G_2(q)$	${}^3D_4(q^3)$	${}^2E_6(q^2)$	${}^2B_2(2^{2n+1})$	${}^2F_4(2^{2n+1})$	${}^2G_2(3^{2n+1})$	$PSU_n(q)$	$PSU_{n+1}(q)$	$PSU_{n+1}(q)$	$PSU_{n+1}(q)$	${}^2A_n(q^2)$	${}^2A_n(q^2)$	${}^2A_n(q^2)$	${}^2A_n(q^2)$	${}^2A_n(q^2)$
$\frac{n!}{2}$	$\frac{n!}{q-1}$	$\frac{q^6(q^6-1)(q^6-1)}{24}$	$\frac{q^7(q^7-1)(q^7-1)}{168}$	$\frac{q^8(q^8-1)(q^8-1)}{40320}$	$\frac{q^{24}(q^{24}-1)(q^{24}-1)}{24}$	$\frac{q^6(q^6-1)(q^6-1)}{24}$	$\frac{q^{12}(q^{12}-1)(q^{12}-1)}{24}$	$\frac{q^{12}(q^{12}-1)(q^{12}-1)}{24}$	$\frac{q^{2n+1}(q^{2n+1}-1)(q^{2n+1}-1)}{24}$	$\frac{q^{2n+1}(q^{2n+1}-1)(q^{2n+1}-1)}{24}$	$\frac{q^{2n+1}(q^{2n+1}-1)(q^{2n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$	$\frac{q^{n+1}(q^{n+1}-1)(q^{n+1}-1)}{24}$

- Alternating Groups
- Classical Chevalley Groups
- Chevalley Groups
- Classical Steinberg Groups
- Steinberg Groups
- Suzuki Groups
- Ree Groups and Tits Groups*
- Sporadic Groups
- Cyclic Groups

Alternating*
Symbol
Order*

M_{11}	M_{12}	M_{22}	M_{23}	M_{24}	$J(1), J(11)$	HJ	HJM	J_4	HS	McL	He	Ru
7 920	95 040	443 520	10 200 960	244 823 040	175 560	604 800	50 232 960	86 775 571 046	877 562 880	44 532 000	896 128 000	4 080 367 200
140 526 644 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000	1 405 265 152 000

*The Tits group ${}^2F_4(2)'$ is not a group of Lie type.
 *The sporadic groups and families, alternate names in the upper left are other names by which they may be known. For sporadic non-abelian groups their use need not indicate isomorphism. All such isomorphisms appear on the table except the four by $Ru_2(3) \cong C_2(3)$.

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S_2	$O'N, O-S$	-3	-2	-1	F_4, D	I_3^5, I	I_3^6, E	F_4, E	Th	$M(22)$	$M(23)$	$F_{11}, M(24)'$	F_2^2, F_2^3	F_2	B	F_4, M_4
Su_2	$O'N$	C_{03}	C_{02}	C_{01}	HN	Ly	Th	Th	F_{22}	F_{23}	F_{23}	F_{24}^2	F_2	B	M	
480 385 497 000	862 315 705 200	85 724 436 000	42 362 218 000	4 827 774 800	275 680	31 763 176	86 743 943	86 743 943	992 872 000	44 531 731 054 800	4 689 474 473	1 232 505 709 100	1 232 505 709 100	1 232 505 709 100	1 232 505 709 100	

2 — Groups of Lie type

Groups of Lie type: a big question

Who is responsible for the **structure** within the groups of Lie type?



Definition (Roughly)

An algebraic group is a machine that manufactures groups (from rings).

$$G : (\mathbf{ring}) \rightarrow (\mathbf{group}) : R \rightsquigarrow G(R)$$

- More generally: an algebraic k -group manufactures groups from k -algebra's.

$$G : (\mathbf{k}\text{-alg}) \rightarrow (\mathbf{group}) : K \rightsquigarrow G(K)$$

Idea

It is better to study the **machine** itself than what it produces.

- Ideally: **few** algebraic groups produce **all** Lie type FSGs.

$$G : (\mathbf{fin}\text{-field}) \rightarrow (\mathbf{fsg}) : \mathbb{F}_q \rightsquigarrow G(q)$$

Groups of Lie type: the Chevalley groups

Consider PSL:

- There is a class of finite simple groups $\text{PSL}_n(q)$.
- There is **no** class of algebraic groups PSL_n !
- However there **are** algebraic groups SL_n , PGL_n and a surjection $\text{SL}_n \rightarrow \text{PGL}_n$ and then

$$\text{PSL}_n(q) = \text{im}(\text{SL}_n(q) \rightarrow \text{PGL}_n(q))$$

- So in better approximation:

finite simple groups of Lie type
 \longleftrightarrow
isogeny classes of semi-simple algebraic groups

- This deals with all the ordinary classes.

Groups of Lie type

The Periodic Table Of Finite Simple Groups

Dynkin Diagrams of Simple Lie Algebras																	C_2		
																	C_2		
$A_1(4), A_1(5)$	$A_1(2)$											$G_2(2)'$	C_2						
A_5	$A_1(7)$											$B_2(3)$	$C_3(3)$	$D_4(2)$	${}^2D_4(2^2)$	${}^2A_2(9)$	C_3		
60	368											25920	498030480	174182400	197406720	6048	3		
$A_1(9), B_2(2)'$	${}^2G_2(3)'$	$A_1(8)$										$B_2(4)$	$C_3(5)$	$D_4(3)$	${}^2D_4(3^2)$	${}^2A_2(16)$	C_5		
A_6	$A_1(8)$											979200	126300	08000000	490217981440	1015119841920	62400	5	
360	504											1451520	65794756	654489480	3349926566880	2101137632048	126000	7	
A_7	$A_1(11)$	$E_6(2)$	$E_7(2)$	$E_8(2)$	$F_4(2)$	$G_2(3)$	${}^3D_4(2^3)$	${}^2E_6(2^2)$	${}^2B_2(2^3)$	Title*	${}^2F_4(2)'$	${}^2G_2(3^3)$	$B_3(2)$	$C_4(3)$	$D_5(2)$	${}^2D_5(2^2)$	${}^2A_2(25)$	C_7	
2520	660	216441375322	67537529480	4753000000	3313126	4245496	211341312	76532479480	75465339200	29120	10473444472	17971200	1451520	65794756	654489480	3349926566880	2101137632048	126000	7
$A_1(2)$	$A_1(13)$	$E_6(3)$	$E_7(3)$	$E_8(3)$	$F_4(3)$	$G_2(4)$	${}^3D_4(3^3)$	${}^2E_6(3^2)$	${}^2B_2(2^5)$	${}^2F_4(2^3)$	${}^2G_2(3^5)$	$B_2(5)$	$C_3(7)$	$D_4(5)$	${}^2D_4(4^2)$	${}^2A_3(9)$	C_{11}		
20160	1092	1470100000000000	1470100000000000	1470100000000000	5734430792836	671461761680	251596800	20160831364312	75465339200	32537680	264905352489	49432687	63946032	4680000	688993480	88088000	39548800	3265920	11
A_9	$A_1(17)$	$E_6(4)$	$E_7(4)$	$E_8(4)$	$F_4(4)$	$G_2(5)$	${}^3D_4(4^3)$	${}^2E_6(4^2)$	${}^2B_2(2^7)$	${}^2F_4(2^5)$	${}^2G_2(3^7)$	$B_2(7)$	$C_3(9)$	$D_4(7)$	${}^2D_4(5^2)$	${}^2A_2(64)$	C_{13}		
181440	2448	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	1470100000000000	13
A_n	$PSU_n(q)$	$E_6(q)$	$E_7(q)$	$E_8(q)$	$F_4(q)$	$G_2(q)$	${}^3D_4(q^3)$	${}^2E_6(q^2)$	${}^2B_2(2^{2n+1})$	${}^2F_4(2^{2n+1})$	${}^2G_2(3^{2n+1})$	$PSU_n(q)$	$O\Omega_n(q)$	$D_n(q)$	${}^2D_n(q^2)$	${}^2A_n(q^2)$	Z_p	C_p	
$\frac{n!}{2}$	$\frac{q^n(q^n-1)}{2}$	$\frac{q^{15n-12}(q^{12}-1)}{24}$	$\frac{q^{21n-18}(q^{18}-1)}{24}$	$\frac{q^{28n-24}(q^{24}-1)}{24}$	$\frac{q^{36n-30}(q^{30}-1)}{24}$	$\frac{q^{42n-36}(q^{36}-1)}{24}$	$\frac{q^{48n-42}(q^{42}-1)}{24}$	$\frac{q^{54n-48}(q^{48}-1)}{24}$	$\frac{q^{60n-54}(q^{54}-1)}{24}$	$\frac{q^{66n-60}(q^{66}-1)}{24}$	$\frac{q^{72n-66}(q^{72}-1)}{24}$	$\frac{q^{78n-72}(q^{78}-1)}{24}$	$\frac{q^{84n-78}(q^{84}-1)}{24}$	$\frac{q^{90n-84}(q^{90}-1)}{24}$	$\frac{q^{96n-84}(q^{96}-1)}{24}$	$\frac{q^{102n-84}(q^{102}-1)}{24}$	$\frac{q^{108n-84}(q^{108}-1)}{24}$	$\frac{q^{114n-84}(q^{114}-1)}{24}$	$\frac{q^{120n-84}(q^{120}-1)}{24}$

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M_{11}	M_{12}	M_{22}	M_{23}	M_{24}	$J(1), J(11)$	HJ	HJM	J_4	HS	McL	He	Ru
7920	95040	443520	10200960	244823040	175560	604800	50232960	86775571046	44352000	898128000	4080307200	14052644400

*The Tits group ${}^2F_4(2)'$ is not a group of Lie type, but is the (index-2) commutator subgroup of ${}^2F_4(2)$. It is usually given however for Lie type tables.

*The sporadic groups and families, alternate names in the upper left are other names by which they may be known. The sporadic non-exceptional groups have no need to indicate isomorphisms. All such isomorphisms appear on the table except the four by $Ru_2(D_8)$ in $C_2(D_8)$.

*Single simple groups are determined by their order with the following exceptions:
 $Ru_2(D_8)$ and $C_2(D_8)$ for g odd, $n > 2$.
 $C_2(D_8)$ for g even, $n > 2$.

S_2	$O'N$	$O'S$	-3	-2	-1	F_4	I_3	I_5	E_6	E_7	$M(23)$	$M(25)$	$F_{4,4}$	$F_{4,24}$	F_5	B	F_4	M_1
Su_2	$O'N$	$O'N$	Co_3	Co_2	Co_1	HN	Ly	Th	$F_{4,2}$	$F_{4,3}$	$F_{4,4}$	$F_{4,5}$	$F_{4,6}$	$F_{4,7}$	$F_{4,8}$	$F_{4,9}$	$F_{4,10}$	$F_{4,11}$
48032140700	86215170520	857244536408	4230541131200	432777486	275480	51761176	86741943	9927270	445917310580	25101480	4689478473	1251205708100	4792868480	4792868480	4792868480	4792868480	4792868480	4792868480

Groups of Lie type: the Steinberg groups

- It **is still** the case that every such group arises (roughly) as $G(q)$ (for some G and q).
- But **not** uniformly! For instance there is an algebraic \mathbb{F}_p -group G such that

$$G(p) = \text{PSU}(p^2/p) \text{ but then}$$
$$G(p^2) = \text{PSL}(p^2) \quad !!!$$

- ... and we need a different algebraic \mathbb{F}_{p^2} -group G' such that

$$G'(p^2) = \text{PSU}(p^4/p^2) \text{ but then}$$
$$G'(p^4) = \text{PSL}(p^4) \quad !!!$$

- This is quite annoying but well understood.

Groups of Lie type: the Steinberg groups

- This is quite annoying but well understood.
- We understand PSU as a **form** of PGL.
- On sidenote, it **is** weird that not all PSU's form a single family.
- Fake class?

Groups of Lie type

The Periodic Table Of Finite Simple Groups

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																	C_2	
$A_1(4), A_1(5)$	$A_1(2)$											$G_2(2)'$	C_3					
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2520	660	216441375322	4761000000	4761000000	3313126	4245496	211341312	76532479480	75465339200	29120	10473444472	1451520	65794756	654489480	3349926566880	21011376320480	1260000	7
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20160	1092	14701000000000	14701000000000	14701000000000	573440792836	251596800	20160891364312	76532479480	75465339200	32537600	26490532489	49432687	4680000	654489480	80000000	39548800	3265920	11
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181440	2448	14701000000000	14701000000000	14701000000000	47682350	642790400	20160891364312	76532479480	75465339200	34063383480	239189939264	332349332632	138297600	5430571342	1269121799	17860261250	800000000	5315776
A_n	$A_n(q)$	$E_6(q)$	$E_7(q)$	$E_8(q)$	$F_4(q)$	$G_2(q)$	${}^3D_4(q^3)$	${}^2E_6(q^2)$	${}^2B_2(2^{2n+1})$	${}^2F_4(2^{2n+1})$	${}^2G_2(3^{2n+1})$	$PSU_n(q)$	$O\Omega_n^{\epsilon}(q)$	$D_n(q)$	${}^2D_n(q^2)$	${}^2A_n(q^2)$	Z_p	
$\frac{n!}{2}$	$\frac{n!}{q-1}$	$\frac{q^6-1}{(q-1)^2}$	$\frac{q^7-1}{(q-1)^2}$	$\frac{q^8-1}{(q-1)^2}$	$\frac{q^4-1}{(q-1)^2}$	$\frac{q^2-1}{(q-1)^2}$	$\frac{q^{12}-1}{(q^3-1)^2}$	$\frac{q^6-1}{(q^2-1)^2}$	$\frac{q^{2n+1}-1}{(q-1)^2}$	$\frac{q^{2n+1}-1}{(q^2-1)^2}$	$\frac{q^{2n+1}-1}{(q^3-1)^2}$	$\frac{q^n-1}{(q-1)^2}$	$\frac{q^n-1}{(q-1)^2}$	$\frac{q^n-1}{(q-1)^2}$	$\frac{q^{2n}-1}{(q^2-1)^2}$	$\frac{q^{2n}-1}{(q^2-1)^2}$	$\frac{q^n-1}{(q-1)^2}$	p

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*For sporadic groups and families, alternate names are in use (see also the other column) to which they may be known. For sporadic non-exceptional groups, their use tends to indicate isomorphisms. All such isomorphisms appear on the table except the one by $Ru_2(D_8) \cong C_2(D_8)$.

†Simple groups are determined by their order with the following exceptions: $Ru_2(D_8)$ and $C_2(D_8)$ for q odd, $n > 2$. ${}^2F_4(2)'$ and ${}^2F_4(2)$ for $q = 2$.

S_2	$O'N$	$O'S$	-3	-2	-1	F_4, D	I_3^5	I_3^6	E_6, E	$M(22)$	$M(23)$	$F_{11}, M(24)'$	F_2	F_4, M_1
Su_2	$O'N$	$O'N$	Co_3	Co_2	Co_1	HN	Ly	Th	F_{122}	F_{122}	F_{122}	F_{122}^2	B	M
48038549760	603151305280	603151305280	603151305280	603151305280	4327794880	2754880	31761176	86741943	98720720	98720720	98720720	44352000	4080307200	14052644400

3 — Suzuki-Ree groups

Suzuki-Ree groups: brief history

- Suzuki was classifying a class of finite groups.
- Found strange guys $G(2^{2e+1})$: the **Suzuki groups**.
- Ree: they are 'twists' of the Lie-type group B_2 , notation ${}^2B_2(2^{2e+1})$.
- Ree also found ${}^2G_2(3^{2e+1})$ and ${}^2F_4(2^{2e+1})$; the small and large **Ree groups**.

A disturbance in the force

- Only defined over 'very few' fields!
- There is *no* algebraic group such that $G(2^{e'}) = {}^2B_2(2^{2e+1})$ in a natural manner

families of [Suzuki groups](#) ${}^2B_2(2^{2n+1})$ and [Ree groups](#) ${}^2F_4(2^{2n+1})$. Similarly, the Dynkin diagram G_2 in perfect fields of characteristic three has an automorphism that swaps the short and long root, and if $q = 3\tilde{q}^2$ leads to the final class of Ree groups, ${}^3G_2(3^{2n+1})$. In contrast to the Steinberg groups, the Suzuki-Ree groups cannot be easily viewed as algebraic groups over a suitable subfield; morally, one “wants” to view ${}^2B_2(2^{2n+1})$ and ${}^2F_4(2^{2n+1})$ as being algebraic over the field of $2^{n+1/2}$ elements (and similarly view ${}^3G_2(3^{2n+1})$ as algebraic over the field of $3^{n+1/2}$ elements), but such fields of course do not exist. (Despite superficial similarity, this issue appears unrelated to the “field with one element” discussed in Remark 3, although both phenomena do suggest that there is perhaps a useful generalisation of the concept of a field that is currently missing from modern mathematics.) One can also view the Steinberg and Suzuki-Ree

Blog Terence Tao: algebraic groups over $\mathbb{F}_{\sqrt{2^{2n+1}}}$?

20. SUZUKI AND REE GROUPS

Lie type	Order of group	q^2
${}^2\text{B}_2(q^2)$	$q^4(q^2 - 1)(q^4 + 1)$	2^{2r+1}
${}^2\text{G}_2(q^2)$	$q^6(q^2 - 1)(q^6 + 1)$	3^{2r+1}
${}^2\text{F}_4(q^2)$	$q^{24}(q^2 - 1)(q^6 + 1)(q^8 - 1)(q^{12} + 1)$	2^{2r+1}

TABLE 1. Suzuki and Ree groups

Book Jim Humphreys: $q^2 = 2^{2r+1}$?

Suzuki-Ree groups: construction

Ingredients:

- A perfect ground field k of characteristic $p = 2, 3, 2$
- A square root of the Frobenius $\sigma : k \rightarrow k$, i.e. $\sigma \circ \sigma = \text{fr}_k$:

$$\sigma(\sigma(x)) = x^p = \text{fr}(x).$$

(Thus if k is finite, it must be \mathbb{F}_2^{2e+1} or \mathbb{F}_3^{2e+1} .)

- A semi-simple \mathbb{F}_p -group G of type B_2, G_2, F_4 .
- An isogeny $\pi : G \rightarrow G$ such that $\pi \circ \pi = \text{Fr}_G$ (the Frobenius on G)

Suzuki-Ree groups: construction

Ingredients:

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- An isogeny $\pi : G \rightarrow G$ such that $\pi \circ \pi = \text{Fr}_G$ (the Frobenius on G)
- There is an involution $\alpha : G(k) \rightarrow G(k) : u \mapsto \pi \circ u \circ \sigma^{-1}$.

$$\begin{array}{ccc}
 \text{Spec } k & \xrightarrow{\alpha(u)} & G \\
 \sigma^{-1} \downarrow & & \uparrow \pi \\
 \text{Spec } k & \xrightarrow{u} & G
 \end{array}
 \quad \text{or} \quad
 \begin{array}{ccc}
 k & \xleftarrow{\alpha(u)} & k[G] \\
 \sigma^{-1} \uparrow & & \downarrow \pi \\
 k & \xleftarrow{u} & k[G]
 \end{array}$$

- Define: ${}^2G(k, \sigma) = \text{Fix}(\alpha) \leq G(k)$.
- (Also defined over imperfect fields, by Tits.)

4 — More disturbances

More disturbances: mixed groups

- Tits invented buildings to study and classify algebraic groups.
- Tits classified spherical buildings of rank ≥ 3 buildings. The 'ideal correspondence' is:

buildings \iff semi-simple algebraic groups

- Found strange guys $G(k, \ell)$: the **mixed groups**.
- They seem to be algebraic groups 'defined over two fields'!

More disturbances: construction of mixed groups

Ingredients:

- Field extensions $k^P \subseteq \ell \subseteq k$;
- A k -group G (semi-simple, adjoint) of type B_n, C_n, G_2, F_4 with root system Φ .
- Tits' theory provides a collection of generators for $G(k)$ namely (ignoring the torus)

$$G(k) = \langle u_r(t) \mid r \in \Phi, t \in k \rangle$$

the group $G(k)$ is described by commutation relations which look like $[u_r(x), u_s(y)] = u_r(x)u_{r+2s}(x^2y)$

- Tits observed that there is an interesting subgroup

$$G(\ell, k) = \langle u_r(t) \mid r \in \Phi, \begin{cases} t \in k & r \text{ long} \\ t \in \ell & r \text{ short} \end{cases} \rangle$$

More disturbances: mixed groups as indifferent groups

Tits calls them **indifferent** because

$$\dots \subseteq k^p \subseteq \ell^p \subseteq k \subseteq \ell \subseteq k^{1/p} \subseteq \dots$$

$$B_n(k, \ell) \cong C_n(\ell^2, k)$$

$$G_2(k, \ell) \cong G_2(\ell^3, k)$$

$$F_4(k, \ell) \cong F_4(\ell^2, k)$$

Both fields should play the same role.

Furthermore we have embeddings

$$X(k, \ell) \subseteq X(\ell, \ell) = X(\ell)$$

$$X(k, \ell) \subseteq X(k, k^{1/p}) \cong X'(k, k) = X'(k)$$

So $X(k, \ell)$ is a *mixture* of $X(\ell)$ and $X'(k)$ somehow.

More disturbances: Weiss' quadrangles

- Weiss was classifying Moufang polygons (another class of buildings)
- Found $WQ_4(k, \ell)$: **very strange groups**.
- Defined over $k^2 \subsetneq \ell \subsetneq k$: imperfect fields only!
- The construction is ... technical.
- Intuitively, they should be forms of $F_4(k, \ell)$
 - this was made rigorous by a framework for *descent in buildings* recently
 - ... but there is no algebraic underpinning of this idea.

More disturbances: exotic pseudo-reductive groups

- CGP were classifying pseudo-reductive groups.
- Their **standard construction** describes most of them

$$\mathcal{G} = R_{\ell/k} G \text{ (+ Cartan troubles).}$$

pseudo-reductive groups \iff semi-simple algebraic groups

- ... but they also found **exotic groups**!
- (and others actually)

More disturbances: exotic pseudo-reductive groups

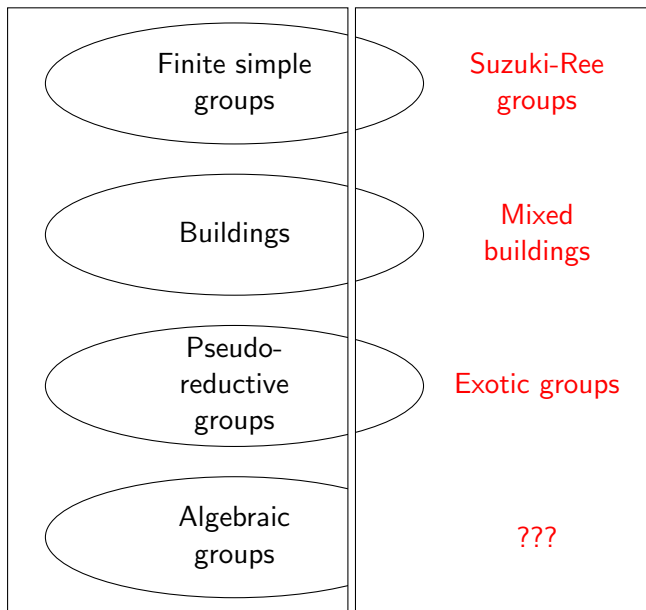
- Let k field of characteristic 2, 2, 3, 2 and G a simply connected k -group of type B_n, C_n, G_2, F_4 .
- There is a **very special isogeny** between k -groups $\pi : G \rightarrow \bar{G}$ which factors the relative Frobenius $G \rightarrow G^{(p)}$.
- Let k'/k be a finite extension such that $k'^p \subseteq k$ and consider

$$f = R_{k'/k}\pi_{k'} : R_{k'/k}G_{k'} \rightarrow R_{k'/k}\bar{G}_{k'}$$

- There is also a map $\bar{G} \rightarrow R_{k'/k}\bar{G}_{k'}$ and the exotic group \mathcal{G} is f^{-1} of its image.

In short: \mathcal{G} arises by thickening half of the group from k to k' .

Summary of disturbances

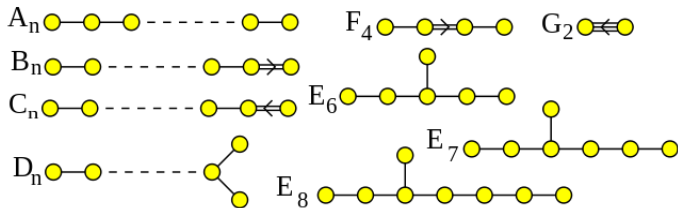


5 — The dark side of the moon

Near side: Combinatorics of root systems

- Relevant dynkin diagrams are always: B_n , C_n , F_4 , G_2

•



Far side: Mathematics in positive characteristic

- $p \mid \binom{p}{i}$ for $0 < i < p$, thus $(a + b)^p = a^p + b^p$
- There is a Frobenius (absolute and relative)
- They are imperfect fields and inseparable field extensions

We focus on this aspect.

Half a menhir

We must often thing bigger to solve our problems in mathematics.

Consider constructing \mathbb{Q} from \mathbb{Z} :

- Obelix only knows integers $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$.
- Obelix wants to solve $2x = 1$.
- You know a construction $\mathbb{Q} := (\mathbb{Z} \times \mathbb{Z}) / \sim$.
- You 'solve' the Obelix-equation:

$$x = \frac{1}{2} := \{(1, 2), (2, 4), (3, 6), (-1, -2), \dots\}$$



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How to see things bigger

General idea

The construction of a single 'new number' itself is not revealing; the importance comes from the observation that *as a whole* the new numbers have good properties.

- $\mathbb{N} \rightarrow \mathbb{Z}$ (the *Grothendieck group of a monoid*)
- $\mathbb{Z} \rightarrow \mathbb{Q}$ (the *fraction field of a ring*)
- $\mathbb{Q} \rightarrow \mathbb{R}$ (the *completion of a valued field*)
- $\mathbb{R} \rightarrow \mathbb{C}$ (the *algebraic closure of a field*)
- $(\mathbf{sch})_{/\mathbb{F}_p} \rightarrow (\mathbf{sch})_{/\mathbb{F}_{\sqrt{p}}}$ (the *twist of a category with end of Id.*)

How to see things bigger — in five easy steps

We must often thing bigger to solve our problems in mathematics:

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- $(\mathbf{sch})_{/\mathbb{F}_p} \rightarrow (\mathbf{sch})_{/\mathbb{F}_{\sqrt{p}}}$ (the *twist of a category with end of $Id.$*)

General procedure to construct a **new number**.

- 1 Consider the **set of old numbers** (endowed with **structure**).
- 2 Use the **structure** to create **another set of new numbers**.
- 3 (Endow the **new numbers** with the desired **structure**.)
- 4 Provide a natural embedding of **old numbers** into **new numbers**.
- 5 We have now enlarged the **old numbers** and we can study what we have.

How to see things bigger — in five easy steps

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- $\mathbb{R} \rightarrow \mathbb{C}$ (the *algebraic closure of a field*)
- $(\text{sch})_{/\mathbb{F}_p} \rightarrow (\text{sch})_{/\mathbb{F}_{\sqrt{p}}}$ (the *twist of a category with end of Id.*)

General procedure to construct a **new scheme**.

- 1 Consider the **category of schemes** $_{/\mathbb{F}_p}$ (endowed with **Frobenius**).
- 2 Use the **Frobenius** to create a **category of schemes** $_{/\mathbb{F}_{\sqrt{p}}}$.
- 3 (Endow the **schemes** $_{/\mathbb{F}_{\sqrt{p}}}$ with the desired **Frobenius**.)
- 4 Provide a natural embedding of **schemes** $_{/\mathbb{F}_p}$ into **schemes** $_{/\mathbb{F}_{\sqrt{p}}}$.
- 5 We have now enlarged the **schemes** $_{/\mathbb{F}_p}$ and we can study what we have.

6 — Twisting a category

The structure on $(\mathbf{Sch})/\mathbb{F}_p$

- 1 Consider the **category of schemes** $/\mathbb{F}_p$ (endowed with **Frobenius**).

The objects X in the category $\mathcal{C} = (\mathbf{Sch})/\mathbb{F}_p$ have $F_X : X \rightarrow X$ such that for all arrows u

$$\begin{array}{ccc} X & \xrightarrow{F_X} & X \\ u \downarrow & & \downarrow u \\ Y & \xrightarrow{F_Y} & Y. \end{array}$$

I.e. F is an **endomorphism of the identity functor**

$$F : \text{id}_{\mathcal{C}} \rightarrow \text{id}_{\mathcal{C}}.$$

The structure on $(\mathbf{Sch})_{/\mathbb{F}_p}$

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$$\begin{array}{ccc} \mathrm{id}_{\mathcal{C}}(X) & \xrightarrow{F_X} & \mathrm{id}_{\mathcal{C}}(X) \\ \mathrm{id}_{\mathcal{C}}(u) \downarrow & & \downarrow \mathrm{id}_{\mathcal{C}}(u) \\ \mathrm{id}_{\mathcal{C}}(Y) & \xrightarrow{F_Y} & \mathrm{id}_{\mathcal{C}}(Y). \end{array}$$

I.e. F is an **endomorphism of the identity functor**

$$F : \mathrm{id}_{\mathcal{C}} \rightarrow \mathrm{id}_{\mathcal{C}}.$$

Twisting a category

- Use the **Frobenius** to create a category of schemes $/\mathbb{F}_{\sqrt{p}}$.

Definitions

(\mathcal{C}, F) is a category with **endomorphism of the identity functor**.

We define $t\mathcal{C}$:

- $t\mathcal{C}$: objects $(X, \Phi_X : X \rightarrow X)$ such that $\Phi_X \circ \Phi_X = F_X$
- $t\mathcal{C}$: arrows $u : (X, \Phi_X) \rightarrow (Y, \Phi_Y)$ are the arrows $u : X \rightarrow Y$ such that

$$\begin{array}{ccc} X & \xrightarrow{u} & Y \\ \Phi_X \downarrow & & \downarrow \Phi_Y \\ X & \xrightarrow{u} & Y \end{array}$$

Twisting a category

- Use the **Frobenius** to create a category of schemes $/\mathbb{F}_{\sqrt{p}}$.

Example

- Fields (k, θ) with $\theta^2 = \text{fr}$ as for twisted groups.
- Algebraic groups (G, π) with $\pi : G \rightarrow G$ such that $\pi^2 = \text{Fr}_G$.

Twisting a category

- ③ (Endow the schemes $\mathbb{F}_{\sqrt{p}}$ with the desired endomorphism of the identity functor.)

(Can be done with Φ_X itself.)

Twisting a category

- 4 Provide a natural embedding of schemes $/\mathbb{F}_p$ into schemes $/\mathbb{F}_{\sqrt{p}}$.

$$t : \mathcal{C} \longrightarrow t\mathcal{C}$$

$$X \rightsquigarrow t(X) = (X \sqcup X, \tau \circ (F_X \sqcup \text{id}_X))$$

The functor t :

- is faithful (so really an embedding) ...
- but neither full ...
- nor essentially surjective. (So lots of space!)

In particular:

$$\begin{array}{ccc} X & & t(X) \\ \downarrow & \rightsquigarrow & \downarrow \\ \text{Spec } \mathbb{F}_p & & t(\text{Spec } \mathbb{F}_p) \end{array}$$

The embedding

- 5 We have now enlarged the **schemes** $/\mathbb{F}_p$ and we can study what we have.

Embedding \mathcal{C} into $t\mathcal{C}$:

$$\begin{array}{ccc} G & \xrightarrow{\pi} & \mathcal{H} \\ & \searrow & \downarrow \\ & & \text{Spec } \mathbb{F}_p \end{array}$$

The embedding

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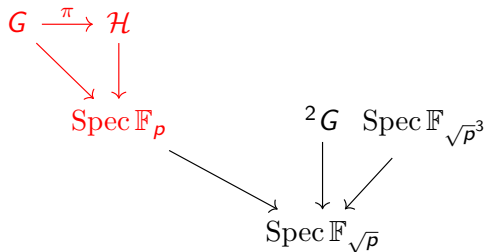
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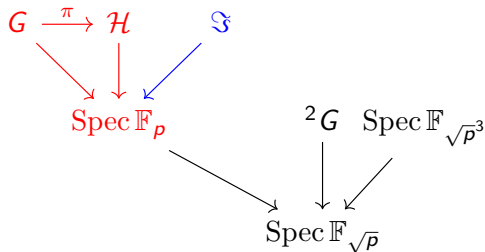
Embedding \mathcal{C} into $t\mathcal{C}$:



The embedding

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Embedding \mathcal{C} into $t\mathcal{C}$:



Mixed schemes = twisted schemes $/\mathbb{F}_p$

Invisible schemes \mathcal{S} !? There are more schemes $/\mathbb{F}_p$ than we thought!

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Mixed schemes = twisted schemes $/\mathbb{F}_p$

Invisible schemes \mathfrak{S} !? There are more schemes $/\mathbb{F}_p$ than we thought!

Example

- Consider fields k, ℓ such that $\ell^p \subsetneq k \subsetneq \ell$ then

$$(k \times \ell, (u, v) \mapsto (v^p, u))$$

is such an *invisible* field

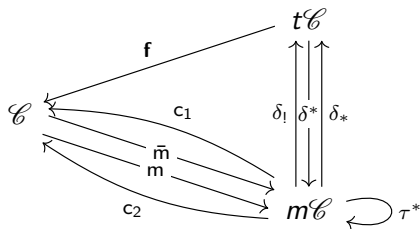
- Consider an algebraic group G with factorization

$$G \rightarrow H \rightarrow G^{(p)}$$

of the *relative Frobenius* then this gives rise to an *invisible* group.

Twisting a category

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$$\delta_! \dashv \delta^* \dashv \delta_*$$

$$c_1 \dashv m \dashv c_2 \dashv \bar{m} \dashv c_1$$

7 — Theorems

Theorems.

- 1 Suzuki-Ree groups are algebraic groups $/\mathbb{F}_{\sqrt{p}}$.
- 2 Mixed groups are *invisible* algebraic groups $/\mathbb{F}_p$.
- 3 Exotic groups arise from Weil restrictions coming from invisible fields.

(For a good notion of “are” and “arise”.)

Easy proof of (1).

Let $\tilde{k} = (k, \sigma)$ be a field with Tits endomorphism and $\tilde{G} = (G, \pi)$ an algebraic group over \mathbb{F}_p with $\pi \circ \pi = F_G$.

Then $\tilde{G}(\tilde{k}) = \{u \in G(k) \mid \pi \circ u = u \circ \sigma\}$

$$\begin{array}{ccc} \text{Spec } k & \xrightarrow{\sigma} & \text{Spec } k \\ \downarrow u & & \downarrow u \\ G & \xrightarrow{\pi} & G \end{array}$$

For perfect k , this means that u is a fixed point of $u \mapsto \pi \circ u \circ \sigma^{-1}$. □

(It also provides a definition for the imperfect case)

Plausibility check for (2).

Let $\tilde{X} = (X, Y, \alpha, \beta)$ be a mixed scheme over a mixed base $\tilde{S} = (\text{Spec } k, \text{Spec } \ell, \kappa, \lambda)$. There is a map

$$\tilde{X}(\tilde{S}) \rightarrow X(k) : (u, v) \mapsto u$$

$$\begin{array}{ccc}
 X & \begin{array}{c} \xrightarrow{\alpha} \\ \xleftarrow{\beta} \end{array} & Y & & X \\
 u \downarrow & & \downarrow v & \mapsto & \downarrow u \\
 K & \begin{array}{c} \xrightarrow{\kappa} \\ \xleftarrow{\lambda} \end{array} & L & & K
 \end{array}$$

This map is *injective*:

$$u = u' \implies u \circ \beta = u' \circ \beta \implies \lambda \circ v = \lambda \circ v' \implies v = v'$$

This corresponds to the embedding $\tilde{X}(k, \ell) \subset X(k)$. □

Proof of (3)?

Not so simple! Relies on this proposition:

Consider a mixed object \tilde{S} together with its morphism $f : \tilde{S} \rightarrow S = \text{mc}_1(\tilde{S})$. Let $\tilde{X} = (X, X', \phi, \psi)$ be an \tilde{S} -object and assume $\beta_*\beta^*X$ and β_*X' exist. If we define

$$f_*(\tilde{X}) = (X, X \times_{\beta_*\beta^*X} \beta_*X', \pi, p_1),$$

then for all S -objects \tilde{T} :

$$\text{hom}_S(\tilde{T}, f_*\tilde{X}) \simeq \text{hom}_{\tilde{S}}(f^*\tilde{T}, \tilde{X})$$

where the maps are defined by ...

The point then is that this weird object $X \times_{\beta_*\beta^*X} \beta_*X'$ is precisely the CGP-construction but in a better notation. □

- “Twisting and Mixing”
<https://arxiv.org/abs/1703.03794> (preprint)
- The author’s PhD thesis (forthcoming)